



FEDERAL ELECTION COMMISSION
WASHINGTON, D C 20463

MEMORANDUM

April 26, 1999

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

THROUGH: JAMES A. PEHRKON
STAFF DIRECTOR

FROM: JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

SUBJECT: REFERRAL OF THE STATE DEMOCRATIC EXECUTIVE
COMMITTEE OF ALABAMA

This is a combined Non-Filer/Chronic Late Filer referral of the State Democratic Executive Committee of Alabama ("the Committee") for failure to timely file the 1997 Year End Report, 1998 April Quarterly and July Quarterly Reports and for failure to file the 1998 October Quarterly, 30 Day Post-General and Year End Reports of Receipts and Disbursements within thirty (30) calendar days from the date of the Non-Filer Notice.

Subsequent to the sending of the Chronic Late Filer Notice referencing the 1997 Year End and 1998 April Quarterly Reports, the Committee failed to timely file the 1998 July Quarterly Report and failed to file the 1998 October Quarterly, 30 Day Post-General and Year End Reports. According to the 1997-1998 RAD Review and Referral Procedures for Unauthorized Committees (Standards 3 and 4), further examination is required by your office.

The Committee may be referred in Group I for a possible 2 U.S.C. § 438(b) audit covering the 1997-98 election cycle. At this time, most of its audit points are for late - and non-filing; therefore, it may not have a significant enough number of points to be considered for audit.

If you have any questions regarding this matter, please contact Neil Evans at 694-1130.

Attachments

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: April 26, 1999

ANALYST: Neil Evans

- I. COMMITTEE: State Democratic Executive Committee
of Alabama
(C00005173)
John D. Saxon, Treasurer
Suite 300 290 21st Street North
Birmingham, AL 35203
- II. RELEVANT STATUTE: 2 U.S.C. 434(a)(4)(A)
11 CFR §104.5(c)(i)(A),(iii)(A) and (2)(i)(B)

III. BACKGROUND:

Failure to Timely File Reports and Chronic Late Filing of Reports

The State Democratic Executive Committee of Alabama ("the Committee") has failed to file three (3) Reports of Receipts and Disbursements in a timely manner for the 1997-98 election cycle. The Reports were filed between fifty-two (52) and three hundred sixty-six (366) days late. In addition, the Committee failed to file the 1998 October Quarterly, 30 Day Post-General, and Year End Reports of Receipts and Disbursements (see attached chart).

The Committee was notified of the due date for the 1997 Year End Report in the Prior Notices dated December 30, 1996 and December 29, 1997 (Attachments 2 and 3). This latter Prior Notice also noted the due dates for all 1998 reports. A Non-Filer Notice was sent to the Committee via mailgram on February 23, 1998, referencing the 1997 Year End Report (Attachment 4).

On March 24, 1998, the Committee filed the 1997 Year End Report which included a cover letter of explanation (Attachment 5). The Executive Director, Mr. Giles

STATE DEMOCRATIC EXECUTIVE COMMITTEE OF ALABAMA
REPORTS ANALYSIS OGC REFERRAL
PAGE 2

Perkins, explained that the records of the Committee "indicate that we mailed the initial report via certified mail on January 27, 1998. We received the return receipt, which was marked as received by the F.E.C. February 2, 1998. I am sending you a copy of this receipt under separate cover." No certified mail receipt was subsequently received by the Commission.

The Committee was notified of the due date for the 1998 April Quarterly Report in the Prior Notice dated March 20, 1998 (Attachment 6). A Non-Filer Notice was sent to the Committee via mailgram on May 7, 1998, referencing the 1998 April Quarterly Report (Attachment 7).

On May 29, 1998, a Chronic Late Filer Notice was sent to the Committee for failing to file the 1997 Year End and 1998 April Quarterly Reports in a timely manner (Attachment 8). The Notice advised the Committee that any additional late filing of reports may result in legal enforcement action. Subsequent to the mailing of the Chronic Late Filer Notice, the Committee failed to timely file the 1998 July Quarterly Report and failed to file the 1998 October Quarterly, 30 Day Post-General, and Year End Reports of Receipts and Disbursements.

On June 1, 1998, the Reports Analysis Division ("RAD") analyst called the Committee and left a message with Giles Perkins, Executive Director, asking the Committee to file the 1998 April Quarterly Report quickly, preferably via certified mail. The Committee was told that failure to file may result in a fine and/or legal action against the Committee (Attachment 9, Page 1).

The Committee was notified of the due date for the 1998 July Quarterly Report in the Prior Notice dated June 19, 1998 (Attachment 10). A Non-Filer Notice was sent to the Committee via mailgram on August 7, 1998, referencing the 1998 July Quarterly Report (Attachment 11).

On August 28, 1998, the analyst called the Committee and left a message for Mr. Giles Perkins with Jessica Miller, Assistant Treasurer, who stated that Mr. Perkins, nor any other person of authority, was available. The analyst's message stated that the Committee must file its 1998 July Quarterly and April Quarterly Reports or face possible legal action by the Commission. The analyst left his name and number (Attachment 9, Page 1).

The Committee was notified of the due date for the 1998 October Quarterly Report in the Prior Notice dated September 18, 1998. (Attachment 12) A Non-Filer Notice was

STATE DEMOCRATIC EXECUTIVE COMMITTEE OF ALABAMA
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sent to the Committee via mailgram on November 4, 1998, referencing the 1998 October Quarterly Report (Attachment 13).

On December 1, 1998, the analyst called John Saxon, Treasurer of the Committee and left the message that the Committee has failed to file the 1998 October Quarterly, July Quarterly, and April Quarterly Reports. The analyst further stated that failure to file the reports may result in legal action against the Committee. The analyst left his name and phone number (Attachment 9, Page 2).

On December 2, 1998, Mr. Saxon called the analyst. He stated that he got in touch with the staff responsible for the completion of the reports. The staff informed him that the reports were completed and filed with the Commission in a timely way. He mentioned that the Committee had this problem with the 1997 Year End Report but that they were able to demonstrate that the report was filed on time. The analyst informed him that the public record currently discloses Non-Filer Notices sent to the Committee for the omitted reports without responses, and that it would be in the best interest of the Committee if it would respond with the missing reports and certified mail receipts. Mr. Saxon stated that he would make sure that the reports were re-sent, but that he believed and trusted his staff (Attachment 9, Page 2).

The Committee was notified of the due date for the 1998 30 Day Post-General Report in the Prior Notices dated September 30, 1998, and November 5, 1998 (Attachments 14 and 15). A Non-Filer Notice was sent to the Committee via mailgram on January 6, 1999, referencing the 1998 30 Day Post-General Report (Attachment 16).

On January 29, 1999, the analyst called Mr. Saxon and left a message with his secretary. The analyst informed her that the Committee has failed to file the 1998 30 Day Post-General, October Quarterly, July Quarterly, and April Quarterly Reports. He also informed her that this failure to file may likely result in a fine if the reports are not filed. She said that she understood and that she would call the preparer of reports. The analyst mentioned to her that the Treasurer previously stated that he believes that this person is filing the reports. The analyst suggested that if that is still the view held by the Committee, the Committee should verify the mailing address of the Commission, and remail the omitted reports. The analyst left his name and number for the Treasurer to return the call (Attachment 9, Page 3).

On February 16, 1999, the analyst called Mr. Saxon about the omitted 1998 30 Day Post-General Report. Mr. Saxon said that he was told that the reports were mailed with

STATE DEMOCRATIC EXECUTIVE COMMITTEE OF ALABAMA
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their certified mail slips to the Commission last week. The analyst informed him that the reports were not received, and in the past, with the 1997 Year End Report, the certified mail slip never came as promised. The analyst provided Mr. Saxon with the names and coverage dates of all of the missing reports at his request. Mr. Saxon said that he was going to call the State office and complain about the omitted reports. Furthermore, he said that there is a new treasurer, but that he was unsure if the Commission has been notified of the change. The analyst advised him that a treasurer change had not been received by the Commission to date and that the Commission should be advised of a change of treasurer in writing. He agreed (Attachment 9, Pages 3 & 4).

The Committee was notified of the due date for the 1998 Year End Report in the Prior Notice dated December 30, 1998 (Attachment 17). A Non-Filer Notice was sent to the Committee via mailgram on March 2, 1999, referencing the 1998 Year End Report (Attachment 18).

On March 29, 1999, the analyst called John Saxon regarding the omitted 1998 Year End Report. He said that he was no longer the treasurer, but that he would call Mr. Giles Perkins, Executive Director of the Committee, to figure out what the problem is. He suggested that there should be someone to talk to at the Committee office (Attachment 9, Page 4).

On March 29, 1999, the analyst placed a follow-up call to the Committee office and left a message for Mrs. Miller regarding the omission of reports (Attachment 9, Page 4).

On March 30, 1999, Sara Jane Tackett called the analyst and said that it appears that the Committee has mailed the reports in question. Although she hasn't seen the reports or the certified mail receipts, she was assured that they were mailed, but she will file them again by April 7, 1999. The analyst confirmed the Commission's mailing address with Ms. Tackett and recommended that the Committee begin electronically filing because it is a more reliable method of filing reports. Ms. Tackett responded that the Committee had purchased the upgrade to electronically file reports from Aristotle already and they intend to use it for the 1999 reports (Attachment 9, Page 5).

On April 5, 1999, Ms. Tackett called the analyst and stated that she has concluded that the Committee filed none of the reports for 1998. She stated that she worked at the Committee during the 1996 election cycle and had returned to the Committee to work for

STATE DEMOCRATIC EXECUTIVE COMMITTEE OF ALABAMA
REPORTS ANALYSIS OGC REFERRAL
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the 2000 election cycle. She was disappointed to learn that she now needed to file the reports for all of 1998. She said that the person whose task it was to prepare the reports has been fired, and the Committee has hired an attorney to get in touch with Lois Lerner of the Office of General Counsel (Attachment 9, Pages 5 & 6).

The Committee filed the 1998 April Quarterly and July Quarterly Reports on April 16, 1999 (Attachments 19 and 20). As of this date, the 1998 October Quarterly, 30 Day Post-General, and Year End Reports of Receipts and Disbursements have not been filed.

2025-04-10 10:30:00

LATE FILING HISTORY

REPORT TYPE	PRIOR NOTICE	DATE DUE	NON-FILER NOTICE	DATE FILED
1997 Year End (7/1/97 - 12/31/97)	12/30/96 12/29/97 (Attachments 2 and 3)	1/31/98	2/23/98 (Attachment 4)	3/24/98 (Attachment 5)
1998 April Quarterly (1/1/98 - 3/31/98)	12/29/97 3/20/98 (Attachments 3 and 6)	4/15/98	5/7/98 (Attachment 7)	4/16/99 (Attachment 19)
1998 July Quarterly (4/1/98 - 6/30/98)	12/29/97 6/19/98 (Attachments 3 and 10)	7/15/98	8/7/98 (Attachment 11)	4/16/99 (Attachment 20)
1998 October Quarterly (7/1/98 - 9/30/98)	12/29/97 9/18/98 (Attachments 3 and 12)	10/15/98	11/4/98 (Attachment 13)	not filed
1998 30 Day Post-General (10/1/98 - 11/23/98)	12/29/97 9/30/98 11/5/98 (Attachments 3, 14 and 15)	12/3/98	1/6/99 (Attachment 16)	not filed
1998 Year End (11/24/98 - 12/31/98)	12/29/97 12/30/98 (Attachments 3 and 17)	1/31/99	3/2/99 (Attachment 18)	not filed

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	IMAGE LOCATION TYPE OF FILER
STATE DEMOCRATIC EXECUTIVE COMMITTEE OF ALABAMA				ID #C00005173 PARTY QUALIFIED		
CONNECTED ORGANIZATION: ALABAMA DEMOCRATIC PARTY						
	1997 STATEMENT OF ORGANIZATION - AMENDMENT			4FEB97	2	97FEC/178/3280
	STATEMENT OF ORGANIZATION - AMENDMENT			12AUG97	2	97FEC/233/2440
	MID-YEAR REPORT	142,163	142,046	1JAN97 - 30JUN97	77	97FEC/225/3552
	MID-YEAR REPORT - AMENDMENT	142,163	142,046	1JAN97 - 30JUN97	13	97FEC/243/0643
	MID-YEAR REPORT - AMENDMENT	-	-	1JAN97 - 30JUN97	4	97FEC/256/4917
	MID-YEAR REPORT - AMENDMENT	-	-	1JAN97 - 30JUN97	2	98FEC/286/0877
	MID-YEAR REPORT - AMENDMENT	-	-	1JAN97 - 30JUN97	2	98FEC/436/3429
	REQUEST FOR ADDITIONAL INFORMATION			1JAN97 - 30JUN97	4	97FEC/238/4771
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN97 - 30JUN97	5	97FEC/243/0137
	REQUEST FOR ADDITIONAL INFORMATION			1JAN97 - 30JUN97	3	97FEC/254/1391
	REQUEST FOR ADDITIONAL INFORMATION			1JAN97 - 30JUN97	2	98FEC/264/1098
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN97 - 30JUN97	3	98FEC/283/0944
	YEAR-END	217,107	235,106	1JUL97 - 31DEC97	98	98FEC/289/1220
	YEAR-END - AMENDMENT	256,301	251,752	1JUL97 - 31DEC97	119	98FEC/443/0212
	NOTICE OF FAILURE TO FILE			1JUL97 - 31DEC97	2	98FEC/285/0909
	REQUEST FOR ADDITIONAL INFORMATION			1JUL97 - 31DEC97	5	98FEC/308/2532
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL97 - 31DEC97	6	98FEC/314/4807
1998	MISCELLANEOUS NOTICE FROM FEC			29MAY98	3	98FEC/315/0876
	APRIL QUARTERLY	231,317	215,057	1JAN98 - 31MAR98	83	98FEC/443/4088
	NOTICE OF FAILURE TO FILE			1JAN98 - 31MAR98	2	98FEC/389/4250
	JULY QUARTERLY	132,352	147,680	1APR98 - 30JUN98	63	98FEC/443/4171
	NOTICE OF FAILURE TO FILE			1APR98 - 30JUN98	2	98FEC/344/0616
	NOTICE OF FAILURE TO FILE			1JUL98 - 30SEP98	2	98FEC/391/2256
	NOTICE OF FAILURE TO FILE			1OCT98 - 23NOV98	2	99FEC/416/0680
	NOTICE OF FAILURE TO FILE			24NOV98 - 31DEC98	2	99FEC/436/1094
	TOTAL	762,133	0 756,535		580	TOTAL PAGES

All Reports Reviewed except the 1997 Amended Year End 1998 April Quarterly and July Quarterly Reports

Ending Cash-On-Hand as of 6/30/98: \$5,706.70

Debts and Obligations Owed to the Committee: \$0.00

Debts and Obligations Owed by the Committee: \$22,600.00

YEAR-END REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 30, 1996

QUARTERLY AND MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT.	FILING
		MAILING DATE**	DATE
Year-End	11/26/96 - 12/31/96	01/31/97	01/31/97

WHO MUST FILE

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Year-End Report.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

**Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

YEAR-END

1997 REPORTING SCHEDULE
PARTIES AND PACS*

I. SEMIANNUAL FILERS**

REPORT	REPORTING PERIOD	REG./CERT.	FILING DATE
		MAILING DATE***	
Mid-Year	01/01/97 - 06/30/97	07/31/97	07/31/97
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98

II. MONTHLY FILERS

REPORT	REPORTING PERIOD	REG./CERT.	FILING DATE
		MAILING DATE***	
February	01/01/97 - 01/31/97	02/20/97	02/20/97
March	02/01/97 - 02/28/97	03/20/97	03/20/97
April	03/01/97 - 03/31/97	04/20/97	04/20/97
May	04/01/97 - 04/30/97	05/20/97	05/20/97
June	05/01/97 - 05/31/97	06/20/97	06/20/97
July	06/01/97 - 06/30/97	07/20/97	07/20/97
August	07/01/97 - 07/31/97	08/20/97	08/20/97
September	08/01/97 - 08/31/97	09/20/97	09/20/97
October	09/01/97 - 09/30/97	10/20/97	10/20/97
November	10/01/97 - 10/31/97	11/20/97	11/20/97
December	11/01/97 - 11/30/97	12/20/97	12/20/97
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

*Committees that wish to change their filing status must notify the Commission in writing when they file their next report due under their current reporting schedule.

**Committees that filed quarterly reports in 1996 are only required to file semiannually in 1997.

***Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR MORE INFORMATION CALL: 800/424-9530 OR 202/219-3420

YEAR-END REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 29, 1997

WHO MUST FILE

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 1998.

I. Semi-annual Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98

II. Monthly Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

ELECTRONIC FILING

For reports covering activity occurring in 1997 (and later), political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

YEAR-END

1998 FILING SCHEDULE

I. QUARTERLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
April Quarterly	01/01/98 - 03/31/98	04/15/98	04/15/98
July Quarterly	04/01/98 - 06/30/98	07/15/98	07/15/98
October Quarterly	07/01/98 - 09/30/98	10/15/98	10/15/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

II. MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
February	01/01/98 - 01/31/98	02/20/98	02/20/98
March	02/01/98 - 02/28/98	03/20/98	03/20/98
April	03/01/98 - 03/31/98	04/20/98	04/20/98
May	04/01/98 - 04/30/98	05/20/98	05/20/98
June	05/01/98 - 05/31/98	06/20/98	06/20/98
July	06/01/98 - 06/30/98	07/20/98	07/20/98
August	07/01/98 - 07/31/98	08/20/98	08/20/98
September	08/01/98 - 08/31/98	09/20/98	09/20/98
October	09/01/98 - 09/30/98	10/20/98	10/20/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FEB 23 1998

**WESTERN
UNION**

CONFIRMATION OF MAILGRAM

WESTERN UNION COMMERCIAL SERVICES
TO: STATE DEMOCRATIC EXECUTIVE CMTE OF AL

JOHN D SAXON

SUITE 300 290 21ST STREET NORTH

BIRMINGHAM AL 35203

FEDERAL ELECTION COMMISSION

SHAWN WERTH

999 E ST. NW.

WASHINGTON DC 204630001

IDENTIFICATION NUMBER: C00005173

REFERENCE: YEAR-END (07/01/1997 - 12/31/1997)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT NEIL A. EVANS ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)219-3580.

SINCERELY,

JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

Alabama
Democratic
Party

For the People

RECEIVED
FEDERAL ELECTION
COMMISSION

MAR 24 10 15 AM '98



March 23, 1998

COPY

Mr. Neil Evans
Federal Election Commission
999 E. Street NW
Washington, DC 20463

Dear Neil:

Enclosed you will find the second copy of our End of year report for 1997. Our records indicate that we mailed the initial report via certified mail on January 27, 1998. We received the return receipt, which was marked as received by the F.E.C. February 2, 1998. I am sending you a copy of this receipt under separate cover.

We apologize for any confusion, and hope that this rectifies any problem or concern. I am grateful for your cooperation in this matter. Please contact me with any questions.

Sincerely,

Giles Perkins
Executive Director

c.c: John Saxon, State Party Treasurer

Suite 405 Massey Building 290 Twenty-First Street North Birmingham, AL. 35203
State headquarters 1.800.995.3386 Phone 205.326.3366 Fax 205.324.3320

<http://www.aladems.org>

email: orogeny@quicklink.net

Contributions are not tax-deductible and may be used for Federal election candidates.

REPORT OF RECEIPTS AND DISBURSEMENTSFor Other Than An Authorized Committee
(Summary Page)RECEIVED
FEDERAL ELECTION
COMMISSION

MAR 24 10 11 AM '98

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <u>State Democratic Executive Committee of Alabama</u>		2. FEC IDENTIFICATION NUMBER <u>00005173</u>
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <u>270 21st Street North Suite 405</u>		
CITY, STATE and ZIP CODE <u>Birmingham, AL 35203</u>		
3. <input checked="" type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M)		

4. TYPE OF REPORT(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☒ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

<input type="checkbox"/> February 20	<input type="checkbox"/> June 20	<input type="checkbox"/> October 20
<input type="checkbox"/> March 20	<input type="checkbox"/> July 20	<input type="checkbox"/> November 20
<input type="checkbox"/> April 20	<input type="checkbox"/> August 20	<input type="checkbox"/> December 20
<input type="checkbox"/> May 20	<input type="checkbox"/> September 20	<input type="checkbox"/> January 31

☐ 12-Day Pre-Election Report for the _____
(Type of Election)

election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election

on _____ in the State of _____

(b) Is this Report an Amendment?

☐ YES ☐ NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	<u>7/1/97</u> through <u>12/31/97</u>		
6. (a) Cash on Hand January 1, 19 <u>97</u>			\$ <u>109.36</u>
(b) Cash on Hand at Beginning of Reporting Period		\$ <u>226.44</u>	
(c) Total Receipts (from Line 19)		\$ <u>217107.32</u>	\$ <u>359270.56</u>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$ <u>217333.76</u>	\$ <u>359606.36</u>
7. Total Disbursements (from Line 30)		\$ <u>235106.68</u>	\$ <u>377152.55</u>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$ <u>(17772.92)</u>	\$ <u>(17546.19)</u>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$ <u>32000.00</u>	
I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.			
Type or Print Name of Treasurer <u>John D. Saym</u>			
Signature of Treasurer <u>John D. Saym</u>			Date <u>1/20/98</u>

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X

(revised 9/93)

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

March 20, 1998

WHO MUST FILE

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) filing on a quarterly basis must file a Quarterly Report in April.

REPORT	REPORTING PERIOD*	REG./CERT.	FILING
		MAILING DATE**	DATE
April Quarterly	01/01/98 - 03/31/98	04/15/98	04/15/98

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC or Party Committee that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 1998 Record.

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

April Quarterly

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

ELECTRONIC FILING

Political committees have the option of filing their reports electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

**WESTERN
UNION**

WESTERN UNION COMMERCIAL SERVICES

CONFIRMATION OF MAILGRAM

TO: JOHN D SAXON

STATE DEM EXECUTIVE CMTE OF ALABAMA

290 21ST ST N STE 300

BIRMINGHAM AL 35203-3331

FEDERAL ELECTION COMMISSION

SHAWN WERTH

999 E ST. NW.

WASHINGTON DC 204630001

MAY 7, 1998

IDENTIFICATION NUMBER: C00005173

REFERENCE: APRIL QUARTERLY (01/01/1998 - 03/31/1998)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT NEIL A. EVANS ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MS-L

John D. Saxon, Treasurer
State Democratic Executive
Committee of Alabama
Suite 300, 290 21st Street, North
Birmingham, AL 35203

MAY 29 1998

Identification Number: C00005173

Reference: 1997-1998 Election Cycle Reports

Dear Mr. Saxon:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may have violated 2 U.S.C. §434(a), by failing to timely file Reports of Receipts and Disbursements. The following is a list of the reports in question.

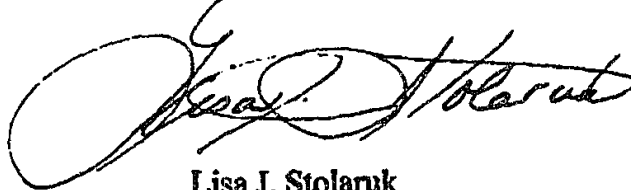
<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
Year End Report (7/1/97-12/31/97)	1/31/98	3/24/98
April Quarterly Report (1/1/98-3/31/98)	4/15/98	not filed

Timely filing is a specific requirement of the Federal Election Campaign Act ("the Act") and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views failure to timely file reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending before the Commission, any additional report which is not submitted in a timely manner by your committee may result in the Commission initiating legal enforcement or audit action.

You may submit a letter of explanation in response to this notification. If, however, you have any questions, please feel free to contact Neil Evans on our toll-free

number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,

A handwritten signature in black ink, appearing to read "Lisa J. Stolaruk", written in a cursive style.

Lisa J. Stolaruk
Chief, Party/Non-Party Branch
Reports Analysis Division

2025.04.23 14:03:00

TELECON

ANALYST:	Neil Evans
COMMITTEE:	State Democratic Executive Committee of Alabama
SUBJECT(S):	Non-Filing of reports

DATE:	June 1, 1998
CONVERSATION WITH:	Giles Perkins

I called the Committee and left a message with Giles Perkins, Executive Director asking the Committee to file the April Quarterly Report quickly, preferably via certified mail. I told them that failure to file will result in a fine and/or legal action against the Committee.

DATE:	August 28, 1998
CONVERSATION WITH:	Jessica Miller, Assistant Treasurer

I left a message with Jessica Miller for Giles Perkins who stated that no person of authority was available. The message was that the Committee must file their July Quarterly and April Quarterly Reports or face legal action from the Commission. I left my name and number for a return phone call.

DATE:	December 1, 1998
CONVERSATION WITH:	John Saxon, Treasurer

I called John Saxon, Treasurer of the Committee and left the message that the Committee has failed to file the October Quarterly, July Quarterly, and April Quarterly Report. I further stated that failure to file the reports will result in legal activity against the Committee and left my name and number.

DATE:	December 2, 1998
CONVERSATION WITH:	John Saxon, Treasurer

John Saxon called me stating that he got in touch with the staff responsible for the completion of the reports who informed him that the reports were completed and filed with the Commission in a timely way. He mentioned that the Committee had this problem with the Year End Report but that they were able to demonstrate that they report was filed on time. I informed him that the public record currently discloses a Non-Filer Notice sent to the Committee without a response and that it would be in the best interest of the Committee if it can respond with the missing reports and certified mail receipts. He stated that he would make sure that the reports were resent, but that he believed and trusted his staff.

DATE:	January 29, 1999
CONVERSATION WITH:	Secretary of John Saxon, Treasurer

I called the John Saxon and left a message with his secretary because he was out of town. I informed her that the Committee has failed to file the 30 Day Post-General, October Quarterly, July Quarterly, and April Quarterly Reports. I also informed her that this failure to file may likely result in a fine if the reports are not filed. She said that she understood and that she would call the preparer of reports. I mentioned to her that the Treasurer previously stated that he believes that this person is filing the reports, and suggested that if that is still the view held by the Committee, the Committee should verify the mailing address of the Commission. I left my name and number for the Treasurer to return the call.

DATE:	February 16, 1999
CONVERSATION WITH:	John Saxon, Treasurer

I called John Saxon about the omitted 30 Day Post-General Report. Mr. Saxon said that he was told that the reports were mailed with their certified mail slips to the Commission last week. I informed him that that the reports were not received, and in the past, with the 1997 Year End Report, the certified mail slip never came as promised. I provided Mr. Saxon with the

names and coverage dates of all of the missing reports at his request. He said that he was going to call the State office and "do some fussin'" about the omitted reports. Furthermore, he said that there is a new treasurer, but that he was unsure if the paper work had been done on it. I advised him that nothing to that effect has been received by the Commission to date and that the Commission should be advised of a change of treasurer in writing. He agreed.

DATE:	March 29, 1999
CONVERSATION WITH:	John Saxon, Treasurer

I called John Saxon regarding the omitted Year End Report. He said the he was no longer the treasurer, but that he would call Giles Perkins, Executive Director of the Committee to figure out what the problem with reporting is. He was told that the reports have been mailed and that the Committee has the certified mail receipts. I asked him for Mr. Perkins phone number. Mr. Saxon provided it to the analyst, but said that Vice President Gore is in town for a fundraiser that Mr. Perkins is attending and that it might be difficult to get in touch with him. He suggested that there should be someone to talk to at the Committee office.

I called the Committee and left a message for Jessica Miller, Assistant Treasurer regarding the omission of reports.

DATE:	March 30, 1999
CONVERSATION WITH:	Sara Jane Tackett

Sara Jane Tackett called the called me and said that it appears that the Committee has mailed the reports in question. Although she hasn't seen the reports or the certified mail receipts, she was assured that they were mailed and she will file them again by April 7, 1999. I confirmed the mailing address with Ms. Tackett and recommended that the Committee begin electronically filing because it is a more reliable method of filing reports. Ms. Tackett replied that the Committee had purchased the upgrade to electronically file reports from Aristotle already and they intend to use it for the 1999 reports.

DATE:	April 5, 1999
CONVERSATION WITH:	Sara Jane Tackett

Ms. Tackett called the analyst and stated that she is now of the conclusion that the Committee filed non of the reports for 1998. She stated that she worked at the Committee during the 1996 election cycle and had returned to the Committee to work for the 2000 election cycle. She was disappointed to learn that she now needed to file the reports for all of 1998. The person whose task it was to prepare the reports has been fired and the Committee has hired an attorney

to get in touch with Lois Lerner of the Office of General Counsel. I faxed her the Second Notice and Request For Additional Information regarding the 1997 Year End Report at her request.

2001.05.10.09

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

June 19, 1998

WHO MUST FILE

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) filing on a quarterly basis must file a Quarterly Report in July.

REPORT	REPORTING PERIOD*	REG./CERT.	FILING
		MAILING DATE**	DATE
July Quarterly	04/01/98 - 06/30/98	07/15/98	07/15/98

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC or Party Committee that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 1998 Record.

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

July Quarterly

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

ELECTRONIC FILING

Political committees have the option of filing their reports electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

**WESTERN
UNION**

CONFIRMATION OF MAILGRAM TO: JOHN D SAXON
WESTERN UNION COMMERCIAL SERVICES
STATE DEMOCRATIC EXECUTIVE CNTE OF AL
SUITE 300 290 21ST STREET NORTH
BIRMINGHAM AL 35203

FEDERAL ELECTION COMMISSION
SHAWN WERTH
999 E ST. NW.
WASHINGTON DC 204630001

AUGUST 7, 1998

IDENTIFICATION NUMBER: C00C05173

REFERENCE: JULY QUARTERLY (C4/01/1998 - 06/30/1998)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT NEIL A. EVANS ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

September 18, 1998

WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) filing on a quarterly basis must file a Quarterly Report in October.

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
October Quarterly	07/01/98 - 09/30/98	10/15/98	10/15/98

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any Party Committee or PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 1998 Record.

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS**October Quarterly****CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

ELECTRONIC FILING

Political committees have the option of filing their reports electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

**WESTERN
UNION**

CONFIRMATION OF MAILGRAM

TO: JOHN D SAXON

WESTERN UNION COMMERCIAL SERVICES

STATE DEMOCRATIC EXECUTIVE CMTE OF AL
SUITE 300 290 21ST STREET NORTH
BIRMINGHAM AL 35203FEDERAL ELECTION COMMISSION
SHAWN WERTH
999 E ST. NW.
WASHINGTON DC 204630001

NOVEMBER 4, 1998

IDENTIFICATION NUMBER: C00005173

REFERENCE: OCTOBER QUARTERLY (07/01/1998 - 09/30/1998)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

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IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT NEIL A. EVANS ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

GENERAL ELECTION

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

September 30, 1998

I. ALL MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT.	FILING
		MAILING DATE**	DATE
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98

II. QUARTERLY FILERS THAT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14

REPORT	REPORTING PERIOD*	REG./CERT.	FILING
		MAILING DATE**	DATE
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98

III. QUARTERLY FILERS THAT DO NOT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14

REPORT	REPORTING PERIOD*	REG./CERT.	FILING
		MAILING DATE**	DATE
Post-General	10/01/98 - 11/23/98	12/03/98	12/03/98

WHO MUST FILE

Party Committees and PACs must follow the above charts in order to determine whether they must file a report 12 days before the general election on November 3 (the Pre-General Report). All Party Committees and PACs, regardless of financial activity, must file a report 30 days after the general election (the Post-General Election Report).

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS**Pre/Post General Election****24 HOUR REPORT ON INDEPENDENT EXPENDITURES**

Any Party Committee or PAC that makes independent expenditures aggregating \$1,000 or more during the period beginning October 15 and ending November 1 must report them within 24 hours.

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

ELECTRONIC FILING

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

GENERAL ELECTION

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

November 5, 1998

*****REMINDER**

REMINDER

REMINDER***

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Post-General Election Report. Reports sent by registered or certified mail must be postmarked by the mailing date (December 3, 1998); otherwise, they must be received by the filing date (December 3, 1998). FEC Form 3X is enclosed.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100

**WESTERN
UNION**

CONFIRMATION OF MAILGRAM

TO: JOHN D SAXON

WESTERN UNION COMMERCIAL SERVICES

STATE DEMOCRATIC EXECUTIVE CMTE OF ALLLL
SUITE 300 290 21ST STREET NORTH
BIRMINGHAM AL 35203FEDERAL ELECTION COMMISSION
SHAWN WERTH
999 E ST. NW.
WASHINGTON DC 204630001

JANUARY 6, 1999

IDENTIFICATION NUMBER: C00005173

REFERENCE: 30 DAY POST-GENERAL (10/01/1998 - 11/23/1998)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT. PLEASE DISREGARD THE DECEMBER 28, 1998 MAILGRAM REGARDING THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

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IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT NEIL A. EVANS ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

YEAR-END

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 30, 1998

WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report.

QUARTERLY AND MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT.	FILING DATE
		MAILING DATE**	
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from monthly to quarterly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

ELECTRONIC FILING

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

Year-End

I. SEMIANNUAL FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Mid-Year	01/01/99 - 06/30/99	07/31/99	07/31/99
Year-End	07/01/99 - 12/31/99	01/31/00	01/31/00

II. MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
February	01/01/99 - 01/31/99	02/20/99	02/20/99
March	02/01/99 - 02/28/99	03/20/99	03/20/99
April	03/01/99 - 03/31/99	04/20/99	04/20/99
May	04/01/99 - 04/30/99	05/20/99	05/20/99
June	05/01/99 - 05/31/99	06/20/99	06/20/99
July	06/01/99 - 06/30/99	07/20/99	07/20/99
August	07/01/99 - 07/31/99	08/20/99	08/20/99
September	08/01/99 - 08/31/99	09/20/99	09/20/99
October	09/01/99 - 09/30/99	10/20/99	10/20/99
November	10/01/99 - 10/31/99	11/20/99	11/20/99
December	11/01/99 - 11/30/99	12/20/99	12/20/99
Year-End	12/01/99 - 12/31/99	01/31/00	01/31/00

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100

**WESTERN
UNION**

CONFIRMATION OF MAILGRAM TO: JOHN D SAXON
STATE DEMOCRATIC EXECUTIVE CMTE OF AL
SUITE 300 290 21ST STREET NORTH
BIRMINGHAM AL 35203

FEDERAL ELECTION COMMISSION
SHAWN WERTH
999 E ST. NW.
WASHINGTON DC 204630001

MARCH 2, 1999

IDENTIFICATION NUMBER: C00005173

REFERENCE: YEAR-END (11/24/1998 - 12/31/1998)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT NEIL A. EVANS ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

REPORT OF RECEIPTS AND DISBURSEMENTSFor Other than An Authorized Committee
(Summary Page)RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

APR 16 3 25 PM '99

1. NAME OF COMMITTEE (in full) State Democratic Executive Committee of Alabama		2. FEC IDENTIFICATION NUMBER C00005173
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported. 290 21st Street North		
CITY, STATE and ZIP CODE Birmingham, AL 35203		
		3. <input checked="" type="checkbox"/> This committee qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT(a) ☒ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid-Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20☐ June 20☐ October 20☐ March 20☐ July 20☐ November 20☐ April 20☐ August 20☐ December 20☐ May 20☐ September 20☐ January 31☐ Twelfth day report preceding

(Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on

_____ in the State of _____

(b) Is this Report an Amendment?

☐ YES☒ NO**SUMMARY**

5. Covering Period	01/01/98	through	03/31/98	COLUMN A This Period	COLUMN B Calendar Year-to-date
6. (a) Cash on Hand January 1, 19 <u>98</u>					\$4775.09
(b) Cash on Hand at Beginning of Reporting Period				\$4775.09	
(c) Total Receipts (from Line 19)				\$231317.95	\$231317.95
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)				\$236093.04	\$236093.04
7. Total Disbursements (from Line 30)				\$215057.65	\$215057.65
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))				\$21035.39	\$21035.39
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)					For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)				\$ 22,600.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony J. Fant

SARA JANE TACKETT ASST TREASURER

Signature of Treasurer

Date

4-14-99

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to penalties of 2 U.S.C. §437g.

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FEC FORM 3X
(Revised 9/93)

REPORT OF RECEIPTS AND DISBURSEMENTSFor Other than An Authorized Committee
(Summary Page)RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

APR 16 3 25 PM '99

1. NAME OF COMMITTEE (in full) State Democratic Executive Committee of Alabama		2. FEC IDENTIFICATION NUMBER C00005173
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported. 290 21st Street North		
CITY, STATE and ZIP CODE Birmingham, AL 35203		
		3. <input checked="" type="checkbox"/> This committee qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT(a) ☐ April 15 Quarterly Report☒ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid-Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

<input type="checkbox"/> February 20	<input type="checkbox"/> June 20	<input type="checkbox"/> October 20
<input type="checkbox"/> March 20	<input type="checkbox"/> July 20	<input type="checkbox"/> November 20
<input type="checkbox"/> April 20	<input type="checkbox"/> August 20	<input type="checkbox"/> December 20
<input type="checkbox"/> May 20	<input type="checkbox"/> September 20	<input type="checkbox"/> January 31

☐ Twelfth day report preceding _____ (Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____

in the State of _____

(b) Is this Report an Amendment? ☐ YES ☒ NO**SUMMARY**

5. Covering Period	04/01/98	through	06/30/98	COLUMN A This Period	COLUMN B Calendar Year-to-date
6. (a) Cash on Hand January 1, 19 <u>98</u>					\$4775.09
(b) Cash on Hand at Beginning of Reporting Period				\$21035.39	
(c) Total Receipts (from Line 19)				\$132352.25	\$363670.20
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)				\$153387.64	\$368445.29
7. Total Disbursements (from Line 30)				\$147680.94	\$362738.59
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))				\$5706.70	\$5706.70
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)					
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)				\$ 22600.00	

For further information contact:
Federal Election Commission
999 E Street NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony J. Fant

Sara Jane Tackett - Assistant Treasurer

Signature of Treasurer

Date

4-15-99

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to penalties of 2 U.S.C. §437g.

FEC FORM 3X
(Revised 9/93)